

FIG. 1

FIG. 2

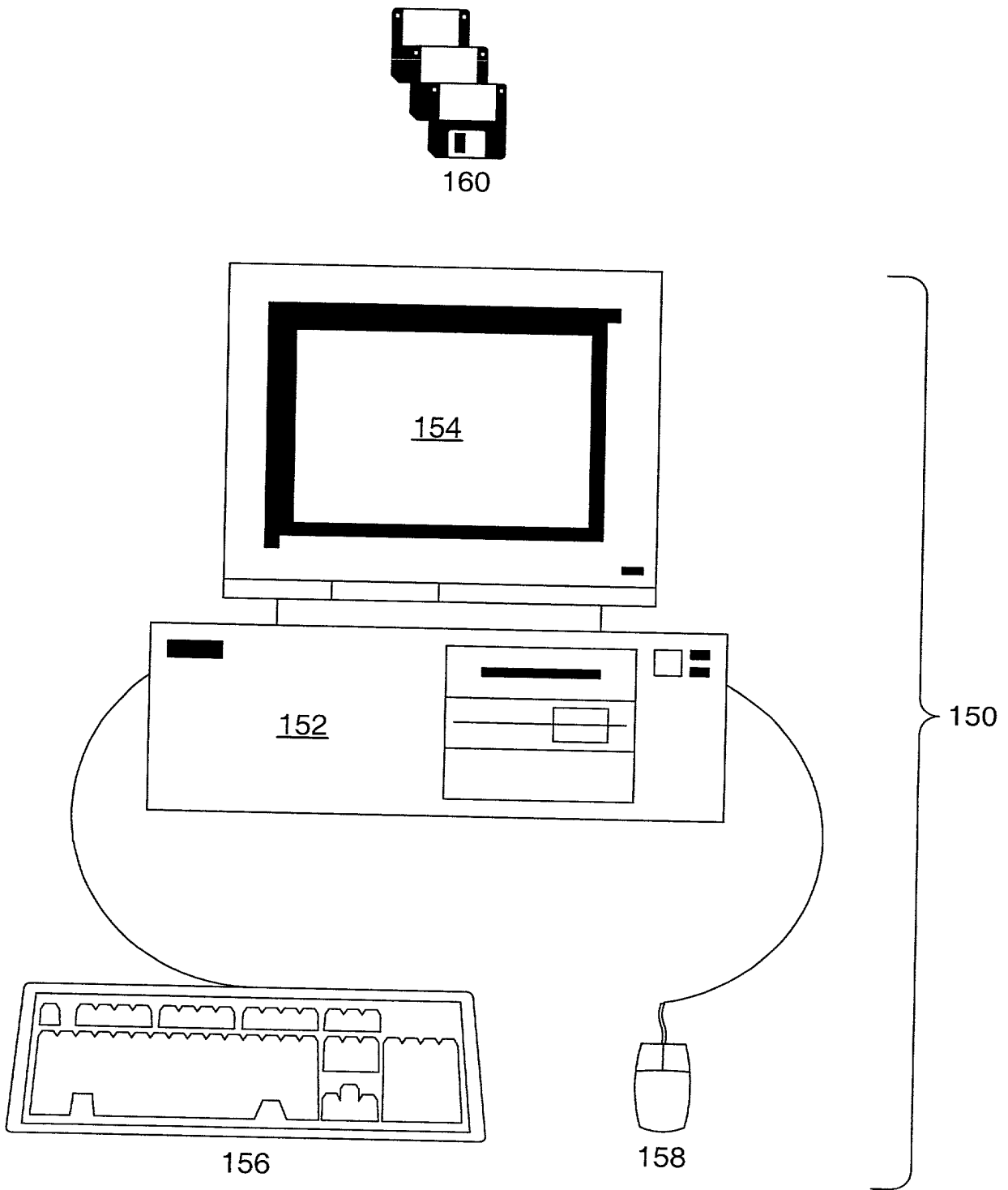


FIG. 2

311

Stock Symbol:

312

Long Stock Price:

313

Long Stock Quantity:

321

Option Symbol:

322

Expiration Month:

▼

323

Option Exchange:

▼

324

Number of Contracts:

325

Strike Price:

326

Long Put Buy Price:

331

Option Symbol:

332

Expiration Month:

▼

333

Option Exchange:

▼

334

Number of Contracts:

335

Strike Price:

336

Short Call Sell Price:

340

Account Number:

350

Conversion Commission:

360

Execution Time:

370

Submit

310

Long Stock Position

320

Long Put Position

330

Short Call Position

300

Forward Conversion Ticket

FIG. 3

411	Stock Symbol:	QCOM
412	Long Stock Price:	25 1/8
413	Long Stock Quantity:	1000
421	Option Symbol:	QST
422	Expiration Month:	OCT ▼
423	Option Exchange:	8 Philly ▼
424	Number of Contracts:	10
425	Strike Price:	20
426	Long Put Buy Price:	1 1/16
431	Option Symbol:	QST
432	Expiration Month:	OCT ▼
433	Option Exchange:	8 Philly ▼
434	Number of Contracts:	10
435	Strike Price:	20
436	Short Call Sell Price:	6 5/8
440	Account Number:	1234
350	Conversion Commission:	
360	Execution Time:	

370 Submit

410
Long Stock Position

420
Long Put Position

430
Short Call Position

FIG. 4

511	Stock Symbol:	MSFT	}	510 Long Stock Position
512	Long Stock Price:	59 7/8		
513	Long Stock Quantity:	500		
521	Option Symbol:	MSQ	}	520 Long Put Position
522	Expiration Month:	JAN ▼		
423	Option Exchange:	8 Philly ▼		
524	Number of Contracts:	5		
525	Strike Price:	65		
526	Long Put Buy Price:	2 1/4	}	530 Short Call Position
531	Option Symbol:	MSQ		
532	Expiration Month:	JAN ▼		
433	Option Exchange:	8 Philly ▼		
534	Number of Contracts:	5		
535	Strike Price:	65		
536	Short Call Sell Price:	8 7/16		
440	Account Number:	1234		
350	Conversion Commission:			
360	Execution Time:			
<div> <div>370</div> <div>Submit</div> </div>				

500 Forward Conversion Ticket

FIG. 5

<u>600</u> Conversion Report	<u>610</u> Stock Symbol	<u>620</u> Option Symbol	<u>630</u> Expiration Month	<u>640</u> Strike Price	<u>650</u> Number of Shares	Conversion Values			
						<u>660</u> Cost Basis	<u>670</u> Present Value	Change Since Yesterday	<u>690</u> Unrealized Gain/Loss
<u>601</u>	BEAS <u>611</u>	BUC <u>621</u>	DEC <u>631</u>	50 <u>641</u>	1,000 <u>651</u>	154.53 <u>661</u>	217.03 <u>671</u>	-187.50 <u>681</u>	62.50 <u>691</u>
<u>602</u>	SEPR <u>612</u>	ERQ <u>622</u>	JAN <u>632</u>	60 <u>642</u>	1,000 <u>652</u>	607.63 <u>662</u>	-204.87 <u>672</u>	-1,687.50 <u>682</u>	-812.50 <u>692</u>
<u>603</u>	HGSI <u>613</u>	HHA <u>623</u>	JAN <u>633</u>	50 <u>643</u>	1,000 <u>653</u>	865.93 <u>663</u>	-384.07 <u>673</u>	-3,000.00 <u>683</u>	-1,250.00 <u>693</u>
<u>604</u>	IDPH <u>614</u>	IDK <u>624</u>	JAN <u>634</u>	90 <u>644</u>	700 <u>654</u>	881.79 <u>664</u>	-368.21 <u>674</u>	-2,562.50 <u>684</u>	-1,250.00 <u>694</u>
<u>605</u>	NEWP <u>615</u>	NZZ <u>625</u>	FEB <u>635</u>	80 <u>645</u>	800 <u>655</u>	1,305.33 <u>665</u>	-1,194.67 <u>675</u>	-3,750.00 <u>685</u>	-2,500.00 <u>695</u>
<u>606</u>	MUSE <u>616</u>	QVM <u>626</u>	JAN <u>636</u>	100 <u>646</u>	500 <u>656</u>	1,547.42 <u>666</u>	1,297.42 <u>676</u>	-1,000.00 <u>686</u>	-250.00 <u>696</u>
<u>607</u>	MERQ <u>617</u>	RQB <u>627</u>	JAN <u>637</u>	80 <u>647</u>	600 <u>657</u>	771.43 <u>667</u>	-228.57 <u>677</u>	-2,312.50 <u>687</u>	-1,000.00 <u>697</u>
<u>608</u>	PMCS <u>618</u>	SZI <u>628</u>	FEB <u>638</u>	125 <u>648</u>	400 <u>658</u>	2,132.98 <u>668</u>	-117.02 <u>678</u>	-4,250.00 <u>688</u>	-2,250.00 <u>698</u>
<u>609</u>	CIEN <u>619</u>	UEE <u>629</u>	JAN <u>639</u>	70 <u>649</u>	1,000 <u>659</u>	895.11 <u>669</u>	-229.89 <u>679</u>	-3,125.00 <u>689</u>	-1,125.00 <u>699</u>

FIG. 6

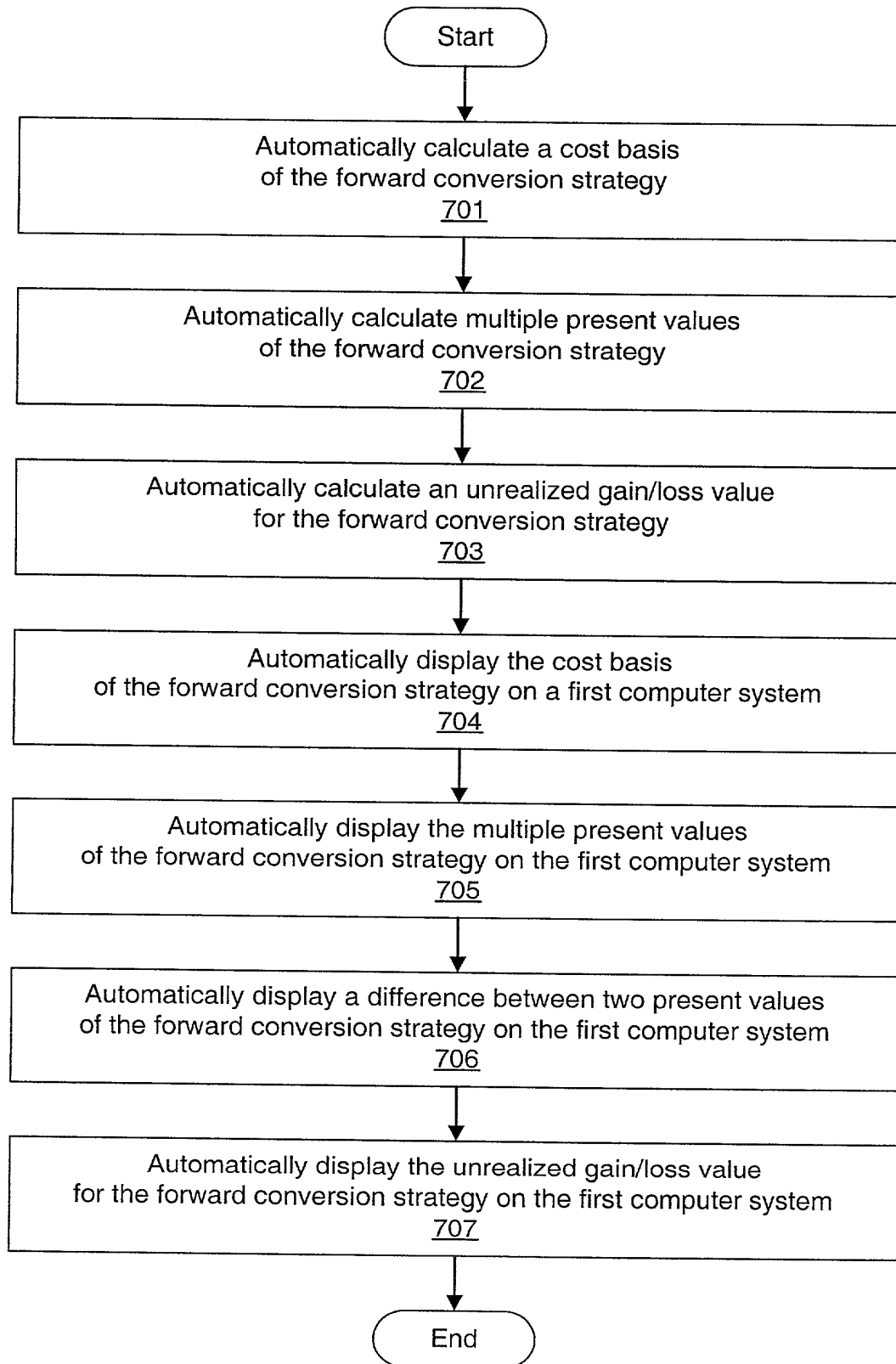


FIG. 7